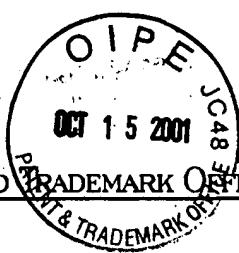




UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/905,258	07/12/2001	Sarat C. Sankaran	60025-0012

CONFIRMATION NO. 3816
FORMALITIES LETTER

HICKMAN PALERMO TRUONG & BECKER LLP
1600 Willow Street
San Jose, CA 95125-5106



OC00000006581171

Date Mailed: 09/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

12/04/2 1 MTEKLEM1 00000017 09905258

01 FC:202

126.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$744.
 - \$504 for 28 total claims over 20.
 - \$240 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1584.

Adjustment date: 12/04/2001 MTEKLEM1
10/17/2001 BABRAHA1 00000054 09905258
02 FC:203 252.00 OP

A copy of this notice MUST be returned with the reply.

12/04/2001 MTEKLEM1 00000016 09905258

01 FC:203

252.00 OP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/17/2001 BABRAHA1 00000054 09905258

01 FC:201

370.00 OP

02 FC:203

370.00 OP

03 FC:205

65.00 OP



Attorney Docket No.: 60025-0012

#3 Sector
S

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Sarat C. Sankaran, et al.

Group Art Unit: 2161

Application No.: 09/905,258

Examiner: Not Yet Assigned

Filed: July 12, 2001

Title: APPROACH FOR MANAGING FORECAST
DATA

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

(X) Statutory basic filing fee (X) Utility \$370.00 () Design

(X) Additional claim fees of \$378.00

(X) Missing Parts Surcharge = \$65.00

() Extension of Time Request for reply to Notice of Missing Parts is requested.

() one month = \$55.00

() two month = \$200.00

(X) Applicant(s) is entitled to small entity status. See 37 CFR 1.27.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: October 11, 2001

Typed Name: Sheila Severinghaus

Signature: Sheila Severinghaus

Respectfully submitted,

By _____

Edward A. Becker

Reg. No. 37,777

Date: October 10, 2001

Telephone No.: (408) 414-1204

Hickman Palermo Truong & Becker, LLP

1600 Willow Street

San Jose, California 95125-5106



Attorney Docket No.: 60025-0012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Sarat C. Sankaran, et al.

Group Art Unit: 2161

Application No.: 09/905,258

Examiner: Not Yet Assigned

Filed: July 12, 2001

Title: APPROACH FOR MANAGING FORECAST
DATA

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

(X) Statutory basic filing fee (X) Utility \$370.00 () Design

(X) Additional claim fees of \$378.00

(X) Missing Parts Surcharge = \$65.00

() Extension of Time Request for reply to Notice of Missing Parts is requested.

() one month = \$55.00

() two month = \$200.00

(X) Applicant(s) is entitled to small entity status. See 37 CFR 1.27.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: October 11, 2001
Typed Name: Sheila Severinghaus

Signature: Sheila Severinghaus

Respectfully submitted,

By Edward A. Becker

Edward A. Becker
Reg. No. 37,777
Date: October 10, 2001
Telephone No.: (408) 414-1204
Hickman Palermo Truong & Becker, LLP
1600 Willow Street
San Jose, California 95125-5106